## JC10 Rec'd PCT/PTO 2 1 MAR 2005

FORM PTO-1390 (Modified) DEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE EY'S DOCKET NUMBER U.S. PATENT AL TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED 26 September 2003 (26.09.2003) 2 October 2002 (02.10.2002 PCT/GB2003/004101 TITLE OF INVENTION DISC BRAKE ROTORS APPLICANT(S) FOR DO/EO/US THORPE, William Anthony Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below.  $\boxtimes$ The US has been elected (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. 🖾 is attached hereto (required only if not communicated by the International Bureau). b. 🗀 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🗆 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🗆 b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). a. 🗆 b. 🗀 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8.  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). . A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11  $\boxtimes$ A copy of the International Search Report (PCT/ISA/210). 12. Items 13 to 23 below concern document(s) or information included: 13.  $\boxtimes$ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14 15.  $\boxtimes$ A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 21. 22.  $\boxtimes$ Express Mail Label No. EV 612880396 US 23. Other items or information: POSTCARD; EXPRESS MAIL CERTIFICATE

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PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.  |              |        |  |    |          | ATTORNEY'S DOCKET NUMBER |              |                  |                 |
|---|--------------|--------|--|----|----------|--------------------------|--------------|------------------|-----------------|
| 90/528590 PCT/GB2003/004101   |              |        |  |    |          | 1                        | 71013-032    |                  |                 |
| 24. The following fees are submitted:   |              |        |  |    |          |                          | Applicar     | nt use           | Office use only |
| 🖄 a) Basic national fee   |              |        |  |    |          |                          | \$           | \$300.00         |                 |
| ☒ o) Examination fee         \$200.00   |              |        |  |    |          |                          | \$           | \$200.00         |                 |
| 図 c) Search fee\$500.00   |              |        |  |    |          |                          | <u>s</u>     | \$500.00         |                 |
| TOTAL OF ABOVE CALCULATIONS :: \$1000.00  |              |        |  |    |          |                          | \$           | \$1,000.00       |                 |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |        |  |    |          |                          |              |                  |                 |
| Total Sheets  | Extra Sheets |        | Number of each additional 50 or RATE fraction thereof (round up to a whole |    |          |                          |              |                  | <br>            |
| - 100 =   | /50 =        |        |  | x  | \$250.00 | S                        | \$0.00       |                  |                 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |        |  |    |          |                          |              |                  |                 |
| CLAIMS  | NUMBER F     |        | NUMBER EXTRA   |    | RATE     |                          | <del></del>  | <del></del>      |                 |
| Total claims  | 9            | - 20 = | 0  | х. |          | \$50.00                  | \$           | \$0.00           |                 |
| Independent clair   | ns 1         | - 3=   | 0  | x  |          | \$200.00                 | \$           | \$0.00           |                 |
| MULTIPLE DEPENDENT CLAIMS (if applicable)   |              |        |  | +  |          | \$360.00                 | S            | \$0.00           |                 |
| TOTAL OF ABOVE CALCULATIONS =   |              |        |  |    |          |                          | S            | \$1,000.00       |                 |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |        |  |    |          |                          | \$           | \$0.00           |                 |
| SUBTOTAL =  |              |        |  |    |          |                          | s            | \$1,000.00       |                 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |        |  |    |          |                          | \$           | \$0.00           |                 |
| TOTAL NATIONAL FEE =  |              |        |  |    |          |                          | \$           | \$1,000.00       | * -             |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +   |              |        |  |    |          |                          | <u></u><br>S | \$0.00           |                 |
| TOTAL FEES ENCLOSED =   |              |        |  |    |          |                          |              | \$1,000.00       |                 |
| Amount to be refunded:  |              |        |  |    |          |                          |              |                  | \$              |
| Amount to be charged:   |              |        |  |    |          |                          |              |                  | \$              |
| a. A check in the amount of S to cover the above fees is enclosed.  |              |        |  |    |          |                          |              |                  |                 |
| b. Please charge my Deposit Account No. 06-0420 in the amount of \$1,000.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.   |              |        |  |    |          |                          |              |                  |                 |
| c. (X) The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No06-0420 A dublicate copy of this sheet is enclosed.  |              |        |  |    |          |                          |              |                  |                 |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Gredit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.                      |              |        |  |    |          |                          |              |                  |                 |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.497(a) or (b))  |              |        |  |    |          |                          |              |                  |                 |
| must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  |              |        |  |    |          |                          |              |                  |                 |
| JON E. SHACKELFORD SIGNATURE  |              |        |  |    |          |                          |              | <del>- 100</del> | ·····           |
| HOWARD & HOWARD ATTORNEYS, PC   |              |        |  |    |          |                          |              |                  |                 |
| 39400 Woodward Avenue, Suite 101 Bloomfield Hills, Michigan 48304-5151 NAME   |              |        |  |    |          |                          |              | \- <u>-</u>      |                 |
|   |              |        |  |    | 3(       | 5,003                    |              |                  |                 |
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Page 2 of 2

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## PATENT COOPERATION TREATY UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William Anthony Thorpe

Serial No.

Unknown

Filing Date:

Herewith

Title:

**DISC BRAKE ROTORS** 

Attorney Docket:

71013-032

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number:

EV 612880396 US

Date of Deposit:

March 21, 2005

I hereby certify that a copy of the following attached papers or fees:

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING
A FILING UNDER 35 U.S.C. 371 (2 PAGES)
INTERNATIONAL APPLICATION PCT/GB2003/004101
PUBLISHED UNDER THE PCT
PRELIMINARY AMENDMENT
IDS W/FOREIGN REFERENCES
DECLARATION OF INVENTORSHIP
THE AMOUNT OF \$1,000.00 CHARGED TO DEPOSIT ACCOUNT 06-0420
POSTCARD

Are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

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